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Receipt

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Date of Signature

and Deposit: November 30, 2005

(Signature of person depositing mail)

MARITZA O'NEILL

# **CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10**

In re Application of

: Ikuo Nishimoto *et al.* 

Application Serial No.

10/538,980

Date of Filing

June 14, 2005

Title of Application

APPARATUS AND METHOD OF EXPOSING LIGHT TO

A SEMICONDUCTOR DEVICE HAVING A CURVED

*SURFACE* 

Confirmation No.

9472

Art Unit

1712

Examiner

To be assigned

USPTO Customer No.

26707

Attorney Docket No.

981045.90011

MS: OIPE, Filing Receipt Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# Type of Filing:

- 1) Request for Corrected Filing Receipt (2 pages)
- 2) Copy of filing receipt (3 pages)
- 3) Copy of Transmittal Letter to the US DO/EO/US mailed 6/14/05 (2 pages)
- 4) Copy of Published PCT application (32 pages)
- 5) Return postcard

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ikuo Nishimoto et al.

Application Serial No.

10/538,980

Date of Filing

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Title of Application

APPARATUS AND METHOD OF EXPOSING LIGHT TO

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Attorney Docket No.

981045.90011

# REQUEST FOR CORRECTED FILING RECEIPT

Attn: OIPE, Filing Receipt Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant is in receipt of a Filing Receipt for the above-identified application and respectfully requests that the total number of claims be corrected as follows:

**Total Claims** 

: 34

The correct number of claims and fees due were correctly submitted at the time of filing of the above PCT Stage U.S. National application on June 14, 2005. A copy of the PCT application as published setting forth the number of claims and a copy of the Transmittal Letter to the United States DO/EO/US filed on June 14, 2005, are enclosed for your review.

USPTO Serial No.: 10/538,980 Applicants: Nishimoto *et al.* 

Request for Corrected Filing Receipt

A copy of the Filing Receipt noting these changes in ink is enclosed. Applicant respectfully requests correction and issuance of a corrected Filing Receipt.

Respectfully submitted,

QUARLES & BRADY STREICH LANG LLP

November <u>29</u>, 2005

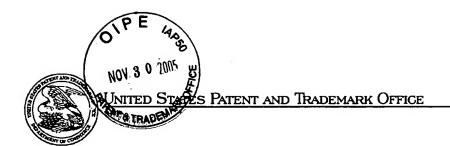
By: \_

Robert D. Atkins Reg. No. 34,288

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FILING OR 371 APPL NO. **ART UNIT** FIL FEE REC'D ATTY.DOCKET NO **DRAWINGS** IND CLMS (c) DATE 10/538,980 06/14/2005 1712 1300 981045.90011 6 2

CONFIRMATION NO. 9472

26707 QUARLES & BRADY LLP RENAISSANCE ONE TWO NORTH CENTRAL AVENUE PHOENIX, AZ 85004-2391



Date Mailed: 11/07/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Ikuo Nishimoto, Kanagawa, JAPAN; Nobuo Takeda, Miyagi, JAPAN; Ichitaroh Satoh, Tokyo, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 26707.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/00579 01/09/2003

**Foreign Applications** 

**Projected Publication Date: 02/16/2006** 

Non-Publication Request: No

Early Publication Request: No

Title

Apparatus and method of exposing light to a semiconductor device having a curved surface

#### **Preliminary Class**

428

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| TRANSMITTAL LETTER TO THE UNITED STATES     |
|---|
| DESIGNATED/ELECTED OFFICE (DO/EO/US)        |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 |

ATTTORNEY'S DOCKET NUMBER 981045.90011

| CONCERNING A SUBMISSIO   | To be assigned   |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED                  |  |  |  |  |  |  |  |
| PCT/US2003/000579  | 9 January 2003   | 9 January 2003                         |  |  |  |  |  |  |  |
| TITLE OF INVENTION Apparatus and method of exposing light to a semiconductor device having a curved surface  |  |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br>Ikuo Nishimoto, Nobou Takeda and Ichitaroh Satoh  |  |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:                                      |  |  |  |  |  |  |  |  |  |
| 1. This is a FIRST submission of items co  | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |  |  |  |  |  |  |  |  |
| 2. This is a SECOND or SUBSEQUENT s  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  |  |  |  |  |  |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |  |  |  |  |  |  |  |  |
| 4. The US has been elected (Article 31).   |  |  |  |  |  |  |  |  |  |
| 5. A copy of the International Application   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |  |  |  |  |  |  |  |  |
| a. is attached hereto (required  | a. is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |  |
| b. has been communicated by  | b. has been communicated by the International Bureau.  |  |  |  |  |  |  |  |  |
| c. is not required, as the appli   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).  |  |  |  |  |  |  |  |  |
| 6. An English language translation of th   | ne International Application as filed (35 U.S.   | C. 371(c)(2)).                         |  |  |  |  |  |  |  |
| a. is attached hereto.   |  |  |  |  |  |  |  |  |  |
| b. has been previously subm  | itted under 35 U.S.C. 154(d)(4).   | •                                      |  |  |  |  |  |  |  |
| 7. Amendments to the claims of the Inte  | ernational Application under PCT Article 19  | (35 U.S.C. 371(c)(3))                  |  |  |  |  |  |  |  |
| a. are attached hereto (requ   | ired only if not communicated by the Interna   | ational Bureau).                       |  |  |  |  |  |  |  |
| b. have been communicated  | b. have been communicated by the International Bureau.   |  |  |  |  |  |  |  |  |
| c. have not been made; how   | c. have not been made; however, the time limit for making such amendments has NOT expired.   |  |  |  |  |  |  |  |  |
| d. Land have not been made and   | d. have not been made and will not be made.  |  |  |  |  |  |  |  |  |
| 8. An English language translation of t  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).                                    |  |  |  |  |  |  |  |  |
| 9. An oath or declaration of the invento   | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |  |  |  |  |  |  |  |  |
| 10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |  |  |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:  |  |  |  |  |  |  |  |  |  |
| 11. An Information Disclosure Statemen   | nt under 37 CFR 1.97 and 1.98.   |  |  |  |  |  |  |  |  |
| 12. An assignment document for record  | ing. A separate cover sheet in compliance  | with 37 CFR 3.28 and 3.31 is included. |  |  |  |  |  |  |  |
| 13. A preliminary amendment.   |  |  |  |  |  |  |  |  |  |
| 14. An Application Data Sheet under 37   | An Application Data Sheet under 37 CFR 1.76.   |  |  |  |  |  |  |  |  |
| 15. A substitute specification.  | A substitute specification.  |  |  |  |  |  |  |  |  |
| 16. A power of attorney and/or change  | A power of attorney and/or change of address letter.   |  |  |  |  |  |  |  |  |
| 17. A computer-readable form of the se   | A computer-readable form of the sequence listing in accordance with PCT Rule 13fer.2 and 37 CFR 1.821- 1.825.                                  |  |  |  |  |  |  |  |  |
| 18. A second copy of the published Inte  | A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |  |  |
| · ·  | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).                                  |  |  |  |  |  |  |  |  |
| 20. Other items or information:  | postcard.  |  |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER

|  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |                    | ATTORNET'S DOCKET NUMBER                             |  |              |                 |  |
|--|---|--------------------|--|--|--------------|-----------------|--|
| To be assigned PCT/US2003/000579   |   | 981045.90011       |  |  |              |                 |  |
| The following fees have been submitted   |   |                    |  | CALCULATIONS                           | PTO USE ONLY |                 |  |
| 21. A Basic national fee\$300  |   |                    |  |  | \$ 300.00    |                 |  |
| 22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)  |   |                    |  |  | \$ 200.00    |                 |  |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority  |   |                    |  | \$ 100.00                              |              |                 |  |
| TOTAL OF 21, 22 and 23 =   |   |                    | \$ 600.00  |  |              |                 |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |                    |  |  |              |                 |  |
| Total Sheets   | Extra Sheets                                    |                    | h additional 50 or fraction<br>up to a whole number) | RATE                                   |              |                 |  |
| - 100 =  | /50 =   | <u> </u>           |  | x \$250                                | \$ 0.00      |                 |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |   |                    |  |  | \$ 0.00      | ,               |  |
| CLAIMS   | NUME  | ER FILED           | NUMBER EXTRA   | RATE                                   | \$           |                 |  |
| Total claims   | (34)  | - 20 =             | 14   | x \$ 50                                | \$ 700.00    |                 |  |
| Independent clair  | ms 2  | - 3 =              | 0  | x \$200                                | \$ 0.00      |                 |  |
| MULTIPLE DEPI  | ENDENT CLAIM(                                   | S) (if applicable) |  | + \$360                                | \$ 0.00      |                 |  |
|  |   |                    | TOTAL OF ABOVE                                       | CALCULATIONS =                         | \$ 1300.00   |                 |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |   |                    |  |  |              |                 |  |
| SUBTOTAL =   |   |                    | \$ 1300.00   |  |              |                 |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |   |                    |  | \$ 0.00                                |              |                 |  |
| TOTAL NATIONAL FEE =   |   |                    |  | \$ 1300.00                             |              |                 |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |   |                    |  |  | \$           |                 |  |
| , , ,  |   |                    |  | EES ENCLOSED =                         | \$ 1300.00   |                 |  |
|  |   |                    |  |  | Amount to be | T <sub>\$</sub> |  |
|  |   |                    |  | refunded: Amount to be                 |              |                 |  |
|  |   | <del> </del>       |  |  | charged:     | \$ 1300.00      |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  |   |                    |  |  |              |                 |  |
| b. Please charge my Deposit Account No. 17-0055 in the amount of \$ 1300 to cover the above fees.  A duplicate copy of this sheet is enclosed.   |   |                    |  |  |              |                 |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 17-0055. A duplicate copy of this sheet is enclosed.  |   |                    |  |  |              |                 |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.                       |   |                    |  |  |              |                 |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                                     |   |                    |  |  |              |                 |  |
| SEND ALL CORRESPONDENCE TO:  |   |                    |  |  |              |                 |  |
| Robert D. Atkins   |   |                    |  |  | lu_          |                 |  |
| QUARLES & BRADY STREICH LANG LLP   |   |                    |  | itkine                                 |              |                 |  |
| One Renaissance Square Two North Central Avenue  Robert D. F  NAME   |   |                    |  | ////////////////////////////////////// |              |                 |  |
| Phoenix, AZ 85004 34,288   |   |                    |  | •                                      |              |                 |  |
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